

# Apex Clearing Corp.

## IRA Journal Request Form and Deposit Slip

\*Requests to move funds out of an IRA account must use the IRA Distribution Form

\*This form should be used for funds coming into the Retirement Account

Date: \_\_\_\_\_

Delivering Account Number \_\_\_\_\_

Delivering Account Name/Title \_\_\_\_\_

Receiving Account Number \_\_\_\_\_

Receiving Account Name/Title \_\_\_\_\_

If the Receiving Account Title does not match the Delivering Account Title, the request will be considered a Third Party Transfer. Third Party Transfers must adhere to Apex's Third Party Policy.

Full Transfer of Cash and Securities? Y/N \_\_\_\_\_

(Accrued Interest will also be transferred)

For Partial Transfers, complete the following: Cash \$ \_\_\_\_\_

Symbol/ CUSIP	Shares	Symbol/ CUSIP	Shares
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Reason for Transfer:  
(Please Check One Box Below)

<input type="checkbox"/> Regular Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year. Prior year contributions can only be made until April 15 <sup>th</sup> of each year.)
<input type="checkbox"/> Employer Contribution	Contribution for Tax Year _____ (If left blank will be deposited for current year.) Note: All SEP contributions are reported the year of deposit.
<input type="checkbox"/> Employee Contribution	Contribution for Tax Year __ (If left blank will be deposited for current year.)
<input type="checkbox"/> Transfer	Transferred from an identical account type and or title.
<input type="checkbox"/> Rollover	Qualifying Direct Rollover from my Employer's Plan ex. 401(k), 403(b), Profit Sharing Plan, Money

